



No.KU/Aca/P.G./Ph.D/ASO /2022-23 | 57

Date :17.12.2022

ಸುತ್ತೋಲೆ

ವಿಷಯ:2022-23ನೇ ಸಾಲಿನಿಂದ ಜಾರಿಗೆ ಬರುವಂತೆ ಪಿಎಚ್.ಡಿ ಪ್ರವೇಶ ಪ್ರಕ್ರಿಯೆಯನ್ನು ಹೊಸದಾಗಿ
ಅಳವಡಿಸಿಕೊಂಡಿರುವ Regulations Governing the Minimum Standards and
Procedure for Award of Ph.D. Degree at Karnatak University-2022
ರಂತೆ ಕ್ರಮ ಜರುಗಿಸುವ ಕುರಿತು.

ಉಲ್ಲೇಖ:1.UGC No. D. O. No. I-3/2021(QIP/Ph.D. Regulations/part file) dated 14-11-2022

2.ಕ.ವಿ.ವಿ. ವಿದ್ಯಾವಿಷಯಕ್ಕೆ ಪರಿಷ್ಕೃತ ಸಭೆಯ ನಡವಳಿ ಸಂಖ್ಯೆ: 07 ದಿನಾಂಕ:07.12.2022

3.ಮಾನ್ಯ ಕುಲಪತಿಗಳ ಆದೇಶ ದಿನಾಂಕ:17.12.2022

ಮೇಲ್ಕಾಣಿಸಿದ ವಿಷಯ ಹಾಗೂ ಉಲ್ಲೇಖಗಳಿಗೆ ಸಂಬಂಧಿಸಿದಂತೆ ಈ ಮೂಲಕ ಎಲ್ಲ ಸ್ನಾತಕೋತ್ತರ
ವಿಭಾಗಗಳ ಅಧ್ಯಕ್ಷರು / ನಿರ್ದೇಶಕರು / ಸಂಯೋಜಕರು ಕ.ವಿ.ವಿ. ಧಾರವಾಡ ಹಾಗೂ ಆಡಳಿತಾಧಿಕಾರಿಗಳು
ಸ್ನಾತಕೋತ್ತರ ಕೇಂದ್ರ ಕಾರವಾರ, ಗದಗ, ಹಾವೇರಿ ಇವರುಗಳಿಗೆ ತಿಳಿಸುವುದೆಂದರೆ, ಕ.ವಿ.ವಿ. ವಿದ್ಯಾವಿಷಯಕ್ಕೆ
ಪರಿಷ್ಕೃತ ಸಭೆಯ ನಡವಳಿ ಸಂಖ್ಯೆ: 07 ದಿನಾಂಕ:07.12.2022ರ ನಿರ್ಣಯದ ಅನುಸಾರ 2022-23ನೇ
ಸಾಲಿನಿಂದ ಜಾರಿಗೆ ಬರುವಂತೆ ಪಿಎಚ್.ಡಿ ಪದವಿಗಾಗಿ ಹೊಸದಾಗಿ ಅಳವಡಿಸಿಕೊಂಡಿರುವ Regulations
Governing the Minimum Standards and Procedure for Award of Ph.D. Degree at Karnatak
University-2022 ರಂತೆ ಪ್ರವೇಶ ಪ್ರಕ್ರಿಯೆಯನ್ನು ಜರುಗಿಸಲು ಅಗತ್ಯ ಕ್ರಮ ಕೈಗೊಳ್ಳಬೇಕೆಂದು ತಿಳಿಸಲಾಗಿದೆ. ಈ
ಸುತ್ತೋಲೆಯೊಂದಿಗೆ ಪಿಎಚ್.ಡಿ ರೆಗ್ಯುಲೇಶನ್-2022ರ ಕರಡುಪ್ರತಿಯನ್ನು ಲಗತ್ತಿಸಲಾಗಿದೆ.

ಮುಂದುವರೆದು, 2022-23ನೇ ಸಾಲಿನ ಪಿಎಚ್.ಡಿ ಪ್ರವೇಶಕ್ಕಾಗಿ ಅಧಿಸೂಚನೆಯನ್ನು ಶೀಘ್ರವಾಗಿ
ಹೊರಡಿಸಲಾಗುವುದು.

ಕುಲಸಚಿವರು

ಗೆ,

1. ಎಲ್ಲ ಸ್ನಾತಕೋತ್ತರ ವಿಭಾಗಗಳ ಅಧ್ಯಕ್ಷರುಗಳು/ನಿರ್ದೇಶಕರು/ಸಂಯೋಜಕರು ಕ.ವಿ.ವಿ.ಧಾರವಾಡ.
2. ಆಡಳಿತಾಧಿಕಾರಿಗಳು, ಕ.ವಿ.ವಿ. ಸ್ನಾತಕೋತ್ತರ ಕೇಂದ್ರಗಳು ಕಾರವಾರ,ಹಾವೇರಿ,ಗದಗ.
3. ಎಲ್ಲ ನಿಬಾಯಗಳ ಡೀನರುಗಳು, ಕ.ವಿ.ವಿ.ಧಾರವಾಡ.
4. ಪ್ರಾಚಾರ್ಯರು/ಸಂಯೋಜಕರು, ಕರ್ನಾಟಕ ಕಲಾ/ವಾಣಿಜ್ಯ/ವಿಜ್ಞಾನ ಮಹಾವಿದ್ಯಾಲಯ (ಸ್ನಾತಕೋತ್ತರ ಕೋರ್ಸುಗಳು), ಧಾರವಾಡ.

ಪ್ರತಿ ಮಾಹಿತಿಗಾಗಿ

1. ಪ್ರಾಚಾರ್ಯರುಗಳು, ಕ.ವಿ.ವಿ. ಸಂಯೋಜಿತ ಮಹಾವಿದ್ಯಾಲಯಗಳು ಕ.ವಿ.ವಿ.ಧಾರವಾಡ.
2. ಕುಲಸಚಿವರು (ಮೌಲ್ಯಮಾಪನ), ಪರೀಕ್ಷಾ ವಿಭಾಗ, ಕ.ವಿ.ವಿ. ಧಾರವಾಡ.
3. ವಿಶ್ವಾಧಿಕಾರಿಗಳು, ಹಣಕಾಸು ವಿಭಾಗ, ಕ.ವಿ.ವಿ. ಧಾರವಾಡ.
4. ನೋಡಲ್ ಅಧಿಕಾರಿಗಳು, ಯುಯುಸಿಎಂಎಸ್ (UUCMS) ವಿಭಾಗ, ಕ.ವಿ.ವಿ.ಧಾರವಾಡ.
5. ನಿರ್ದೇಶಕರು, ಕಾಲೇಜು ಅಭಿವೃದ್ಧಿ ಮಂಡಳಿ, ಕ.ವಿ.ವಿ.ಧಾರವಾಡ
6. ಕುಲಪತಿಗಳ, ಆಪ್ತ ಕಾರ್ಯದರ್ಶಿಗಳು, ಕ.ವಿ.ವಿ. ಧಾರವಾಡ
7. ಕುಲಸಚಿವರು, ಆಪ್ತ ಸಹಾಯಕರು, ಕ.ವಿ.ವಿ. ಧಾರವಾಡ.
8. ನಿರ್ದೇಶಕರು, ಮಾಹಿತಿ ಮತ್ತು ತಂತ್ರಜ್ಞಾನ ವಿಭಾಗ, ಕ.ವಿ.ವಿ.ಧಾರವಾಡ. (ಅಂತರ್ಜಾಲದಲ್ಲಿ ಪ್ರಕಟಣೆಗಾಗಿ)



KARNATAK UNIVERSITY
DHARWAD

Draft Regulations Governing the Minimum Standards
and Procedure for Award of Ph.D. Degree at
Karnatak University-2022

w.e.f. 2022-23

Draft Regulations Governing the Minimum Standards and Procedure for Award of Ph.D. Degree at Karnatak University-2022

Preamble:

The existing regulations governing the Ph. D. programme in Karnatak University Dharwad need to be modified in view of the UGC GAZETTE Notification of INDIA, New Delhi, dated 7th November, 2022 relating to (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022 and notified by its office letter No. D. O. No. I-3/2021(QIP/Ph.D. Regulations/part file) dated 14-11-2022. These new regulations are framed to encourage the research scholars to become well trained researchers and inquisitive explorer as per the global demand and fulfill the requirement for the eligibility to get admission for Ph.D. programme by the aspirants of 4 year UG (Honor with research) that is on line with NEP-2020.

In view of UGC these new Regulations, the Karnatak University Dharwad deemed it fit to formulate a comprehensive set of regulations governing the Ph. D. programme under different faculties with an endeavor to emerge as an eminent University. Further, the University-specific issues in the context of past experience were also considered and have been included within the broad framework of these UGC Regulations. Hence, the following revised Regulations.

Application:

These regulations shall be applicable to the Ph. D. Programme offered in P.G. Departments/ Constituent /Affiliated Colleges and Organizations / Institutions / Research Centers recognized for the purpose by the University in different subjects of various Faculty such as Arts, Commerce, Education, Law, Management, Science & Technology and Social Sciences and any other faculty constituted by the University from time to time.

Salient Features:

- i. Admission mainly based on the Entrance Test (except in case of exempted candidates) and Merit-Cum-Roster System.
- ii. The number of candidates to be admitted will be against the number of vacancies notified in each subject.
- iii. Allocation of the qualified candidates shall be depending on the number of vacancies and the area of specialization of the Research Supervisor and the Candidate's research interest as indicated in the interview.
- iv. Undertaking course work is mandatory for the selected candidates.
- v. Research work may be carried out either on full-time or part-time basis.
- vi. Thesis shall be evaluated by three examiners from the panel including the Research Supervisor (out of which preferably one shall be from within the state and one from outside the State/Country).

- vii. Maximum duration for submission of Ph.D. thesis for full time students shall be 6 years and that for part time students shall be 8 years.
- viii. There shall be a provision for re-registration to complete the Ph.D. degree in 2 more years.

1. Short title, Application, and Commencement

- a) These Regulations may be called Regulations Governing the Minimum Standards and Procedure for Award of Ph.D. Degree at Karnatak University-2022.
- b) These regulations shall come into force from the date of the assent of H.E., the Chancellor

2. Definitions

- (1) In these regulations, unless the context requires otherwise or it is specifically so defined, the definitions of the terms are as follows:
- a) **“Act”** means the University Grants Commission Act, 1956 (3 of 1956).
 - b) **University** means a University established and incorporated under section 3 of the KSU Act, 2000.
 - c) **Degree** means Degree of Doctor of Philosophy (abbreviated as Ph. D.).
 - d) **Fee** means the fee prescribed by the University for the Ph. D programme from time to time.
 - e) **Entrance Test** means the test taken by the applicants to qualify for registration of Ph. D. Programme.
 - f) **Board of Studies** means the Board of Studies (Post-Graduate) of the University, in the discipline/subject concerned.
 - g) **“Guide/Research Supervisor”** means an academician/researcher recognized by the University to supervise the Ph.D. scholar for his/her research.
 - h) **Research Advisory Committee** means the Committee constituted by the University to oversee and supervise the implementation of Ph.D. regulations.
 - i) **Sponsored Candidates** means those permanent employees of educational and/or research institutions and organizations of public/private sectors deputed to pursue Ph.D., including the permanent teachers on FIP/QIP.
 - j) **“Adjunct Faculty”** means a part-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution.
 - k) **“Course”** means one of the specified units which go to comprise a programme of study.
 - l) **“Course Work”** means courses of study prescribed by the School / Department/ Centre to be undertaken by a student registered for the Ph.D. Degree.
 - m) **“Credit”** means the number of hours of instruction required per week over the duration

of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit.

- n) **"Grade Point"** means a numerical weight allotted to each letter grade on a 10-point scale.
- o) **"Cumulative Grade Point Average (CGPA)"** means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places.
- p) **Refereed/Reputed/Peer Reviewed Journal** means a Professional Journal or Publication in which research articles or papers are selected for publication based on blind/peer review.
- q) **"College"** means an institution engaged in higher education and/or research, either established by the University as its constituent unit or is affiliated with it.
- r) **"External examiner"** means an academician/researcher with published research work who is not part of the Higher Educational Institution where the Ph.D. scholar has registered for the Ph.D. programme.
- s) **"Foreign Educational Institution"** means:
 - (i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and
 - (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode.
- t) **"Interdisciplinary Research"** means research conducted by a Ph.D. scholar in two or more academic disciplines.
- u) **"Plagiarism"** means the practice of taking someone else's work or idea and passing them as one's own.
- v) **"Research Proposal"** means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme.
- w) **Academic Council** means Academic Council constituted under Section 30 of the KSU Act, 2000.
- x) **"Regular mode of Ph.D."** means the Ph.D. degree which is pursued either full time or part time but not on distance / on-line mode.
- y) **"Re- registration"** means continuation of registration after completion of maximum period and need not appear for course work once again.

- (2) Words and expressions used and not defined in these Regulations but defined in University Grants Commission (UGC) Act and not consistent with these Regulations shall have the meanings assigned to them in that Act.

3. Notification of Vacant Seats:

- 3.1 The University shall issue a circular, once in a year to all P.G. Departments/Research Centers/Constituent Colleges directing them to submit the number of available/vacant seats under each Research Supervisor as well as the total number of seats available/vacant in the Department/Research Centre.
- 3.2 The concerned Research Supervisor shall decide and declare the number of seats available in the current year and submit the same to the Chairperson of the PG Department. The total number of seats available in the Department once intimated to the University cannot be altered.
- 3.3 The list submitted by the respective P.G. Department shall be authenticated by the University before giving notification.
- 3.4 After receiving the details of the available seats from all P.G. Departments/ P.G. Centers/ Research Centers/ Constituent Colleges, the University shall issue a notification in University Website and through Advertisement in two leading National Newspapers, of which at least one shall be in the Regional language.

The notification shall contain the following information:

- (i) Number of vacant seats in the P.G. Departments / P.G. Centers / Research Centers / Constituent / Affiliated Colleges.
- (ii) Last date for submission of applications and payment details.
- (iii) Date and venue of the Entrance Test and viva-voce.
- (iv) Date of announcement of results of Entrance Test on the Notice Board of the concerned P.G. Department and on the University Website (results will not be intimated individually to candidates).
- (v) Dates of interview and announcement of selection list.
- (vi) Details of fees.
- (vii) Last date for payment of registration fees if selected.
- (viii) Commencement of the term (common for all departments).
- (ix) Commencement of Course work.

4. Eligibility criteria for admission to the Ph.D. Programme:

The following are eligible to seek admission to the Ph.D. programme:

- a) Candidates who have completed:

- i. A 1-year/2-semester master's degree programme in the concerned or cognate subject after a 4-year / 8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme in the concerned or cognate subject after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a pointscale wherever grading system is followed.
 - ii. OR equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.
 - iii. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC / ST / OBC (non-creamy layer) / Differently-Abled, and other categories of candidates as per the decision of the UGC from time to time.
 - iv. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, and other categories of candidates as per the decision of the UGC from time to time.
- b) Candidates who have completed the M.Phil. programme in the concerned or cognate subject with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, and other categories of candidates as per the decision of the UGC from time to time.

5. Submission and Processing of Applications:

- a. The candidates are required to submit duly filled application to the Chairperson of the concerned P.G Department.

- b. The Department Council of the concerned P.G Department, shall (i) scrutinize all applications to determine the eligibility (ii) conduct of the Entrance Test, and (iii) prepare overall consolidated merit list of qualified candidates and conduct the interview of eligible candidates.
- c. The Research Advisory Committee shall allot qualified candidates to Research Supervisor.
- d. The Chairperson of P. G. Department shall send the applications along with the recommendations of the Research Advisory Committee to the University for Provisional Registration.

6. Procedure for Admission:

- 6.1 University shall decide on an annual basis a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available cadre-wise Research Supervisors, and other academic and physical facilities such as laboratory, library etc. The University shall notify the vacancies well in advance as mentioned above in clause 3.
- 6.2 Applicants who secured the minimum percentage of marks at their P.G Degree as specified in clause 4.0 shall appear for the Entrance Test. However, (a) Government sponsored; (b) foreign candidates and (c) candidates who have cleared the UGC / UGC-CSIR / JRF Test / NET / SLET (Karnataka)/ SET (Karnataka) / GATE / GRE / M.Phil (Admitted through an Entrance Test) shall be exempted from the Entrance Test.
- 6.3 The Entrance test shall consists of two papers each carrying 50 marks. Paper-I shall include 50 multiple choice questions pertaining to data interpretation, language comprehension and test of reasoning. Paper – II shall pertain to Core / Cognate subjects consisting of 50 multiple choice questions.
- 6.4 Paper–I shall be common to all departments and set by the Examination Section by constituting a committee of three experts including one external expert nominated by the Vice-Chancellor. In case of language departments, Paper –I shall be translated by respective departmental expert nominated by the Vice-Chancellor.
- 6.5 Paper-II shall be set by the Department Council of concerned PG department.
- 6.6 Two sets of question papers of Paper-I and Paper-II along with Key Answers shall be submitted to the Examination Section in hard and soft copies.

- 6.7 Entrance test for Paper –I and II shall be held for a maximum duration of 60 minutes each, with a gap of 15 minutes. Candidate shall answer in OMR sheets.
- 6.8 After evaluation of the OMR sheets, the Examination Section shall send the marks list to the Chairperson of the concerned P.G Department for display on the Notice Board.
- 6.9 The candidates should secure an average of 50% marks in the Entrance Test. A relaxation of 5% marks (from 50% to 45%) shall be allowed to candidates belonging SC/ST/OBC (Non-creamy Layers)/Differently Abled Category in the entrance exam and other categories of candidates as per the decision of the Government / UGC from time to time
- 6.10 The marks of candidates scored in the Entrance Test are valid only for the concerned academic year.
- 6.11 The selection of candidates is based on the entrance test conducted by the University, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.
- 6.12 A Research Supervisor is not allowed to participate in the entire process of Entrance Test if his/her relative (i.e. wife, husband, son, daughter, grand-son, grand-daughter, brother, sister, nephew, niece, grand-niece, grand-nephew, uncle, aunt, father, mother, first-cousin, son-in-law, daughter-in-law, brother-in-law and sister-in-law, son, daughter, brother or sister will be understood to include also step-son, adopted son, step-brother, step-daughter or step-sister) is appearing for the Ph.D. entrance examination.

7. Intake of Candidates for Ph.D. Programme:

- 7.1 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, can guide a maximum up to Eight (8) Ph.D. scholars; an Associate Professor as Research Supervisor can guide a maximum up to six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide a maximum up to four (4) Ph.D. scholars.

Note: At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number as fixed above for each cadre.

- 7.2 Foreign students seeking admission for Ph.D., Programme must submit 'No Objection Certificate' or 'Sponsorship Letter' from their respective Embassies.

Foreign students admitted to Ph.D. programme on the basis of merit shall be allotted as two students per guide (based on seniority of Research Supervisor) over and above the normal quota.

- 7.3 If the Research Supervisor is on leave/ deputation for a period of more than six months, he/she shall continue to provide guidance to registered candidates through any suitable mode of communication. During such period of absence, all correspondence with the University shall be made through the Chairperson of the Department. All such arrangements shall be made with the prior approval of the Registrar.
- 7.4 A recognized Research Supervisor, on his appointment or transfer from another University or Institution to Karnatak University, shall declare to the concerned Research Advisory Committee, the number of candidates already registered under him/her in the earlier place of work to ensure that the total number of candidates working under his/her guidance should not exceed the maximum number (vide clause 7.1).
- 7.5 No Research Supervisor should be allotted his/her relative (vide clause 6.12) as a candidate for the Ph.D. Programme.
- 7.6 Faculty members with less than three years of service at the time of notification for Ph.D. admission, before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

8. Research Advisory Committee and its Functions

- (a) There shall be a Research Advisory Committee for every subject. The Research Advisory Committee shall have all the Research supervisors of the subject. The Dean of respective faculty shall be the Chairperson and BoS Chairperson of the respective subject shall be the convener of the Committee. It will meet at least twice in the semester. The quorum for the Research Advisory Committee shall be 2/3 of the total composition of the Research Advisory Committee

This committee shall have the following responsibilities

- i. To allot qualified candidates from the merit list to the Research Supervisors.
- ii. To conduct the pre-registration colloquium.
- iii. To consider and approve half-yearly progress reports of Ph.D. candidates.
- iv. To approve and accord permission for the submission of Ph.D. thesis.
- v. To consider the request of the candidates for the modification in the title of the Ph.D. Thesis.
- vi. To consider the change of Research Supervisor.

- vii. To consider the request of the admitted candidates for conversion from Full-time to Part-time and vice-versa and cancellation of Ph.D. registration.
 - viii. To carry out such other duties as the University may entrust from time to time in connection with the Ph. D. Programme.
 - ix. The Research Supervisor whose candidate's cases are to be considered in the meeting shall compulsorily attend the meeting; otherwise the item shall be deferred.
- (b) A Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The convener of the Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University.
- (c) In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

9. Preparation of Merit List of Qualified Candidates:

- a. After the Entrance Test, the Research Advisory Committee shall conduct the viva- voce for the entrance qualified candidates for 30% weightage. All the research Supervisor attending the meeting shall award marks, average of which shall be considered for the final 30% score.
- b. Further, a list of the marks obtained in the entrance test of 70% weightage and 30 % for the performance in the viva- voce shall be prepared.
- c. Merit list of the candidates shall be prepared on the basis of marks obtained in the qualifying examination in the ratio of 50:50 (70% weightage from entrance examination and 30 % for the performance in the viva- voce).
- d. The Government sponsored, foreign candidates, and those who have cleared UGC-JRF/UGC-CSIR-JRF/GATE/GRE/NET/ SLET (Karnataka)/ SET (Karnataka) / M. Phil (Admitted through Entrance Test) shall be placed first in the Consolidated Merit List based on their percentage of marks secured by them at their qualifying examinations.
- e. All the qualified candidates shall be considered for the vacancies notified for the year.

10. Conduct of Interview:

- a. An interview for all eligible candidates shall be conducted by the respective P.G Departments/Research Centres.
- b. Attending the interview is mandatory. Eligibility to appear for the interview shall not be construed as confirmation of registration for Ph.D.
- c. During the interview, the candidates are required to discuss their research interest/specialization.
- d. The interview shall also consider the following aspects, namely whether (i) the candidate possesses the competence for the proposed research, (ii) the research work can be suitably undertaken at the University/Research Centres and (iii) the proposed area of research can contribute to new/additional knowledge.

11. Allotment of Research Supervisor:

- 11.1 The total number of seats available in the Department shall be divided equally between Exempted and Entrance Test Qualified candidates. The odd seat shall be allotted between the Exempted and Entrance Test Qualified Candidates on yearly alternative basis. Vacant seats in any category / categories even after a Special Admission Drive shall be allotted to other categories applying 100-point roster chart within Exempted/Entrance Qualified candidates. Vacant/Excess Seats of Exempted Candidates shall be allotted to the Entrance Test Qualified Candidates and Vice-Versa applying 100-Point roster system.

The Research Advisory Committee shall adhere to the following:

- a. The P.G. Departments shall follow 100-point roster system at the individual department level and shall be continued in the subsequent years from the last roster – point of the previous year.
- b. The 100-Point roster system shall be followed separately for Entrance–Test Qualified candidates as well as for Exempted Candidates.
- c. Allotment of seats shall commence from the exempted category list followed by entrance test qualified candidates.
- d. The allotment of students among research guides shall be made by the Research Advisory Committee taking into consideration the area of specialization of the Guide and the area of Research interest of the students.
- e. Seats for Differently abled candidates shall be reserved only if the fraction is greater than 0.5.

- f. The Department shall keep a record of the last roster– point applied in both Exempted and Entrance Test Qualified Candidates after all the re-allotments so as to continue from the previous roster point in the subsequent years.

11.2 The selected candidate for Ph.D. shall remit the fees within 04 days from the date of selection and submitting the fees receipt to the concerned Department. Failing which, the candidature stand shall automatically cancel and such vacant seat shall be allotted to waitlisted candidate as per the above procedure within 10 days.

11.3 After allotment of the candidates to the respective Research Supervisors, the candidates should finalize research topic/title in consultation with the Research Supervisor.

12. **Mode of Ph. D. Programme:**

- a. A candidate may register either for Full-time or Part-time Ph.D. programme.
- b. Full-time Ph. D. programme shall be undertaken by a candidate who will work on regular basis in the department till the submission of the thesis.
- c. Part-time Ph.D. programme shall be undertaken by In-Service / Professional candidates (vide **Annexure-A** for **Eligibility criteria to apply for part -time Ph.D.**).
- d. A teacher fellow (On QIP/FIP Programme) shall work on a Full-Time basis in the Department/Research Centre during the period of Fellowship.
- e. No Ph.D. candidate shall register for any regular degree or course, except part- time courses like Diploma, Certificate, etc. during the period of the Ph. D. Programme.
- f. All Full-time Ph.D. scholars including QIP/FIP, irrespective of discipline, shall be required to train in teaching / education / pedagogy / writing related to their chosen Ph.D. subject during their doctoral period.
- g. All Full-time Ph.D. scholars may also be assigned 4-6 hours per week of teaching / research assistantship for conducting tutorial or laboratory work and evaluations. No other assignments unless offered by the University as per its statutes during the period of Ph. D. programme be taken.

13. **Course Work**

- a. Full-time and Part-time Ph.D. candidates should undertake course work of 16 weeks duration for 14 credits, in the Parent Department within one year from the date of provisional registration as per University schedule, failing which his/her registration shall be cancelled. However, if it is found necessary, course work may be carried out by doctoral candidates in Research Centers recognized by the University.
- i. The course work for Ph.D. programme comprises four courses / papers viz., Research Methodology, Cognate/Core Subject, Area of Research Course and

Research and Publication Ethics as detailed below.

Sl. No.	Name of the Paper	Credits	Contact Hours / Week	Maximum Marks			Duration of Exam (hr)
				Formative Assessment(IA)	Course End-Examination	Total	
01	Paper – I: Research Methodology	04	04	50	50	100	02
02	Paper – II: Cognate/Core Subjects	04	04	50	50	100	02
03	Paper – III: Area of Research	04	04	50	50	100	02
04	Paper-IV: Research and Publication Ethics	02	02	25	25	50	01
Total		14	14	175	175	350	

- ii. Paper-I and II shall be common for all the candidates in the concerned Department.
- iii. Each Paper shall have 48 contact hours. Classes for Paper – I and II should be arranged by the Chairperson of the P.G Departments/Head of the recognized research centers, and that of Paper – III by the concerned Research Supervisor
- iv. Paper- IV shall be common for all the faculty students and shall be arranged by the academic section by nominating a course coordinator or University may also advise for on-line learning through SWAYAM or any recognized platforms.
- v. The research candidate is eligible to appear for course work end examination provided a minimum attendance of 75% is maintained in each paper, failing which his/her provisional registration stands cancelled.
- vi. Syllabi of Paper – I and II shall be framed by the Department Council of the concerned P.G. Department and the syllabus of Paper – III shall be framed by concerned Research Supervisors. The syllabi shall be approved by concerned BOS by circulation. Syllabus of paper-IV shall be adopted directly from the UGC notified vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019.

b. Schedule of Formative Assessment:

Schedule, Mode of Formative Assessment and marks					Total Marks
Paper – I:	5 th Week,	9 th week	12 th Week	14 th week	50
	Written Test-I	Written Test-II	Seminar	Assignment	
	Marks -15	Marks -15	Marks - 10	Marks- 10	
Paper – II:	5 th Week,	9 th week	12 th Week	14 th week	50
	Written Test-I	Written Test-II	Seminar	Assignment	

	Marks -15	Marks- 15	Marks - 10	Marks- 10	
Paper– III:	5 th Week,	9 th week	12 th Week	14 th week	50
	Written Test-I	Written Test-II	Seminar	Assignment	
	Marks -15	Marks -15	Marks - 10	Marks- 10	
Paper–IV:	5 th Week,	9 th week	12 th Week	14 th week	25
	Written Test-I	Written Test-II	Seminar	Assignment	
	Marks -10	Marks -10	Marks - 05	Marks- 05	

- c. Question papers (in two sets) of Paper -I and II for the course-end examination shall be set by the BoE consisting of Chairperson of the Department as Chairman and research supervisors teaching the core papers. Question paper (in two sets) of paper –III shall be set by concerned Research Supervisor and submit to the BoE Chairperson. The question paper (in two sets) of paper –IV by the University panel of Examiner and submit to Registrar (Evaluation). The BOE, after scrutiny shall submit the manuscripts of the question papers to the Registrar (Evaluation).
- d. Course work end examination shall be conducted in the 17th week and evaluation and announcement of results be completed before the end of 18th week.

14. Coursework End Examination and Evaluation of Answer Scripts

- a. The Course work end Examination shall be conducted by the Registrar (Evaluation) in coordination with the respective P.G. Departments.
- b. Assessment and evaluation of answer scripts shall be done as per the existing regulations of the University. The answer scripts shall be evaluated by two examiners (one Internal Course Teacher and another External Examiner-appointed by the Registrar (Evaluation) from the panel of examiners submitted by the Chairperson of the BoE). The final marks awarded shall be the average of two evaluations.
- c. The answer scripts are referred to the third examiner when the difference in marks awarded in previous two evaluations exceeds 20% of the maximum marks. The marks awarded by the third examiner should be averaged with the nearest marks of previous two evaluations.

15. Minimum Passing Marks and Provision for Re-examinations

- a. The BOE shall prepare the Result Sheet and submit to the Registrar (Evaluation) for declaration of the results.
- b. The candidate must secure minimum of 50% marks in each paper and 55% in aggregate

(including continuous internal assessment marks) for passing the course work. The Course work result shall be declared in CGPA in UGC 10-point scale.

- c. A re-examination shall be conducted, within three months, for those candidates who fail in course work examination in first attempt. If the candidate fails again in the re-examination, his/her provisional registration stands cancelled. There is no provision for improvement of marks of IA and course work end examination.

16. Confirmation of Registration

- a. On completion of course work, the candidates (full-time/part-time) shall submit research proposal, on the topic chosen to the concerned P.G. Department in the prescribed format, not later than a year from the date of provisional registration.
- b. The Research Proposal shall include research objectives, literature survey, methodology, work plan and relevance of the proposed research work. The same shall be presented at the pre-registration colloquium in the Research Advisory Committee.
- c. The Research Advisory Committee shall review and approve the research proposals.
- d. The Chairperson of the P.G Departments should submit all approved research proposals to the University for Confirmation of Registration.

17. Place of Research Work:

- a. Research work shall be carried out in the P.G. Department or Research Center or Laboratory / Institution or in the recognized Affiliated / Constituent College where the Research Supervisor is working.
- b. In case of a candidate, whose registration is confirmed and has completed at least one year of registration, desires to leave the jurisdiction of the University and carry out his/her research work in an Organization/ University/ Institute/Laboratory which has facilities for the concerned research, the candidate may be permitted to do so by the University and to continue registration on the recommendation of the concerned Supervisor and the Research Advisory Committee.
- c. The awardees of the Commonwealth Split-Site Scholarship and such others shall be bound by the rules and regulations of the respective scholarship with respect to place of work.
- d. Part-time candidates shall carry out research work at least for 45 days per year in the working place of the Research Supervisor (180 days for the entire research period) and the attendance shall be maintained by the respective Departments. The candidates shall submit an undertaking to this effect at the time of registration.

18. Duration of the Programme

- a. Full-time Ph.D. Programme shall be for a minimum duration of 03 years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
- b. The Part -time programme shall be for a minimum duration of 04 years including course work and a maximum of 08 years
- c. A maximum of an additional 02 years can be given through a process of re-registration where the previous performance of the course work shall be carry forwarded and need not to appear for coursework in this case.; provided, however, that the total period for completion of a Ph.D. programme should not exceed 08 years for Full-time Ph.D. Programme and 10 years for Part-time Ph.D. Programme from the date of admission in the Ph.D. programme.
- d. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of 02 years; however, in all cases, the total period for completion of a Ph.D. programme should not exceed 10 years from the date of admission in the Ph.D. programme.
- e. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme; however, under such cases progress report shall not be expected but candidate has to remit the term fees to keep the registration in force.

19. Regulations Relating to Attendance of the Ph.D. Candidates

- a. The Full-time Ph.D. candidate should sign in the attendance register kept in the Department under the custody of Chairperson of the Department on day-to-day basis, except during the period of field work, duly permitted by the Research Supervisor.
- b. The Full-time candidates pursuing Ph.D. Programme in Affiliated / Constituent Colleges/ Research Institutions / Organizations should sign the attendance at the work place of Research Supervisor /Co-Supervisor and the same shall be submitted to the University/ concerned P.G. Department every term by the Principal / Head of the respective College/ Institution and Chairperson of the concerned P.G. Department.
- c. All Full-time Ph. D. candidates should maintain 75% attendance in each term till the submission of thesis, failing which the Research Advisory Committee shall recommend for cancellation of the Registration. On completion of field work, the candidates should submit a report to the University through Research Supervisor and Chairperson of the

Department. The period of field work should be taken into consideration for computing the percentage of attendance.

- d. All correspondence by these candidates to the University shall be made through the Research Supervisor, Principal / Head of the Institute / College and the Chairperson of the concerned P.G. Department.
- e. If the Full-time research candidate remains absent due to events like accident and ill-health during the period of research work, such absence is to be reported within a week to the University/ concerned P.G. Department through the Research Supervisor and Chairperson of the concerned P.G. Department, with the necessary documentary proof / evidence. However, there shall be no exemption for not fulfilling 75% attendance in every term.
- f. However, in case of Part - time candidates minimum 180 days attendance is required at the working place of Research Supervisor during the entire research period.

20. Change of Ph.D. Registration from Full-time to Part-time and Vice-versa

- a. A Full-time Ph. D. Programme may be converted into a Part-time Ph. D. Programme on written request by the candidate enclosing (i) "No Objection Certificate" from the Head of the Institution/Organization in which he/she is working, (ii) "Service Certificate" issued by the Employer and (iii) "Letter of Undertaking".
- b. The recommendation of the research supervisor and the approval of the Research Advisory Committee must be submitted to the University along with candidate's written request.
- c. For determining the maximum duration of the Ph.D. programme, the period of study spent as a Full-time candidate shall be deducted from the maximum duration of Part-time Ph.D. Programme.
- d. Candidate admitted under Part-time Ph. D. Programme may convert the registration into a full-time Ph.D. Programme on a written request along with the resignation approved by his/ her employer and duly recommended by the research supervisor as well as the Research Advisory Committee. However, for determining duration of the Ph.D. Programme, half of the period of time spent under Part-time Ph.D. Programme shall be counted as equivalent time spent under Full time Ph.D. Programme.

21. Change/Modification of Research Topic

- a. The title of the research topic shall be recorded in the registration confirmation certificate issued to the candidate.
- b. If necessary, the modification/change of title of thesis be made/permitted at the time of

pre-submission colloquium on the recommendation of Research Supervisor and Research Advisory Committee.

22. Progress Report and remittance of the term fees

- a. Registered Ph.D. candidate shall pay the prescribed fees within 10 days after commencement of every term till the submission of thesis.
- b. No Research Scholars shall be allowed to continue to pursue Ph.D. without paying the term fees. Concerned Chairman of the P.G. Department / Principal / Research organization shall monitor such cases.
- c. After the confirmation of the registration, every candidate shall submit half-yearly progress report regularly till the submission of the thesis to the Chairperson of the P.G. Department through respective Research Supervisor and make a presentation of the same before Research Advisory Committee for approval. The Chairperson shall forward the approved proposal to the University, otherwise suggest to the Scholar for resubmission / presentation in the immediate next term.
- d. In case the progress of the research scholar is not satisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee shall recommend to the University with specific reasons for cancellation of the registration of the research scholar.
- e. If a candidate fails to submit half-yearly progress report in time (Except in the case of Female Ph.D. Scholars in the duration of Maternity Leave), an official letter shall be sent to the Research Scholars by the office of the concerned P.G. Department duly signed by Research Supervisor and Chairperson of the Department. The Research Scholar has to reply to the letter within 15 days (from date of receiving of letter), failing which the registration stands cancelled. Further, Research Advisory Committee shall recommend cancellation of registration of the candidate to the University.

23. Procedure for Recognition of Research Supervisor

- a. Professors and Associate Professors of the P.G. Department / Constituent Colleges / Affiliated including autonomous Colleges / Research Centres recognized by the University and having a Ph.D., with a minimum five research publications in peer-reviewed / UGC CARE listed journals shall be eligible to supervise Doctoral Candidates. However, they have to apply to the University through the Chairperson of the P.G. Department for recognition.
- b. Permanent faculty members working as Assistant Professors of the P.G. Department/

Constituent Colleges / Affiliated Colleges / Research Centres recognized by the University shall be eligible for the recognition of research supervisor in the concerned subject who have:

- i. At least three years of teaching experience on regular basis.
 - ii. A Doctoral degree in the relevant/cognate subject
 - iii. At least three years of independent research experience after the Doctoral Degree as evidenced by a minimum TWO publications in refereed/UGC CARE listed journals.
- c. Scientists/Professionals working in recognized Research Institutions, who have Ph.D. Degree in the concerned/related discipline and having at least three years of independent research experience after obtaining Ph.D. degree and a minimum of five publications in refereed/UGC CARE listed journals shall be eligible for recognition as Research Supervisor.
- d. All such eligible Teachers / Scientists/Professionals shall make an application to the University in prescribed form for recognition as Research Supervisor.
- e. In all the above cases, the Research Advisory Committee shall verify the credentials before recommending their names to the University for Recognition as Research Supervisors.
- f. Mere recognition of Research Supervisor shall not be the eligibility to get the Ph.D. students, but his /her working institution / College shall also be the recognized research centre(vide clause 24) to claim the Ph.D. registration under him / her.
- g. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors.
- h. The University shall not recognize a college/institution affiliated to another University as its Research Centre.
- i. In case of topics which are of interdisciplinary in nature, if the concerned Research Advisory Committee decides that the expertise in the department has to be supplemented from outside, the Research Advisory Committee shall recommend a research supervisor from the department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from Outside the Department/Faculty/ College/Institution, who is a recognized guide of the University.
- j. A Research Supervisor is not allowed to guide in two subjects and shall not act as a Co-Supervisor for more than two candidates.
- k. Adjunct Faculty members / Professor of Emeritus / Professor for life shall not act as

Research Supervisors and can only act as co-supervisors but not after attaining the age of 70 years.

24. Academic, research, administrative, and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programme

- a. Post-graduate Colleges offering 04-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
- b. Colleges and research institutions established by the State Government whose degrees are awarded by this University shall offer Ph.D. programmes provided they have:
 - i. At least two faculty members in a college or two Ph.D. qualified scientists in the research institution.
 - ii. Adequate infrastructure, administrative support, research facilities and library resources as specified by the University.
- c. Such Colleges and research institutions shall apply for getting recognition for offering Ph.D. programme through the portal of University College Development Council (CDC) when called for annually. Further process is similar to the affiliation of other degree programmes.

25. Change of Research Supervisor

- a. Normally, change of Research Supervisor shall not be permitted.
- b. The Research Advisory Committee shall avoid recommending for the change of research supervisor. Differences, if any, between the research supervisor and the candidate, shall be resolved through proper counseling.
- c. The change of supervisor may be allowed within a period of one year from the date of provisional registration with the consent of the research candidate and both the supervisors (current and proposed) if unavoidable. However, in extraordinary cases, the Vice Chancellor may permit the change of research supervisor even beyond one year.
- d. Under extraordinary circumstances, such as demise or discontinuation of service or disability on health grounds of the supervisors or transfer of the supervisor, the change of Research Supervisor shall be permitted by the University, on the request of the candidate and based on the recommendation of Research Advisory Committee.
- e. In addition, if change of supervisor necessitates change / modification of topic, the same shall be approved by the Research Advisory Committee but within one year from date

of admission.

- f. In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

26. Submission of draft Ph.D. Thesis

- a. Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (15) of this Regulation, the Ph.D. scholar shall be required to undertake research work and produce a draft thesis.
- b. Before submitting the thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee of the subject, which shall also be open to all faculty members and other research scholars/students.
- c. The Chairperson shall convene Research Advisory Committee meeting to invite the Ph.D. scholar to make the presentation and may recommend to the University for permission to submit the thesis if no comments or the feedback and comments obtained need to be incorporated in the draft thesis or Research Advisory Committee may recommend to resubmit and present the draft thesis in the immediate next term or reject the draft thesis if found to be not satisfactory , if so he / she present the draft in the next two terms if his/ her maximum research tenure permits otherwise shall go for re-registration.

27. Format for the Ph.D. Thesis

The Ph.D. thesis shall comply with following specifications:

- a. **Size of the Paper:** A4 size bond paper with margin-1½ inches on the left side, MS word in Times New Roman Style with Font 12 and 1.5 line spacing and printed on both sides of the paper.
- b. The Thesis shall be written in English/Kannada except when subject matter relates to any other Foreign Language or Modern Indian Language (Hindi, Sanskrit, Marathi etc.), in such case it may be written in that Language.
If the thesis is written in a Language other than English, four copies of abstract of the thesis in English shall also be submitted.
- c. The research scholar shall submit four copies of the Thesis along with a CD in PDF form to the Registrar through the Research Supervisor and the Chairperson of the concerned P.G Department.

28. Similarity-Index Check

- a. The Ph.D. Thesis in PDF form should be submitted to the Coordinator, Shodhaganga Project, Prof S. S. Basavanal Library Karnatak University, Dharwad for 'Similarity-Index Check' before the final submission by the Research Scholar through the Research Supervisor.
- b. The PDF form of the Thesis should contain only Chapters pertaining to the research work. It should exclude other contents like certificate, abstract, declaration, acknowledgement, abbreviations, list of tables and figures, contents, bibliography, appendices, etc. (Refer to E-Thesis Submission Format for Shodhaganga for further details).
- c. No Ph.D. thesis shall be recommended for submission unless the '**Similarity-Index Check**' is $\leq 10\%$.
- d. If the '**Similarity-Index Check**' is $>10\%$, the thesis should be modified and resubmitted for obtaining '**Similarity-Index Check**' by paying prescribed fee.
- e. While submitting Thesis for evaluation, the Thesis shall have an undertaking from the Research students and a certificate from the Research Supervisor stating that work is original and has not been submitted for the award of any other degree/diploma of the same/any other University/Institution.

The following documents must be enclosed with the application for submission of thesis:

- Four copies Synopsis of the thesis along with soft copy in pdf form.
- Ph.D. Registration Confirmation Certificate.
- Course work Marks Card.
- Certificate issued by the Chairperson of concerned P.G .Department regarding the successful presentation of the pre-submission colloquium / presentation of draft thesis.
- Fees Challan towards payment of thesis submission.
- The candidate should produce "No Due Certificates" from the Research Supervisor, Chairperson of the concerned P.G Department/Head of the Institution, Librarian, IT Director, Hostel Warden, Director of Students' Welfare(DSW), etc of the University.

29. Submission of the Panel of Examiners

- a) The panel of examiners shall be prepared exhaustively on an annual basis and approved by the Board of Studies. The approved panel shall include names of experts working in various Universities/Premier Institutions both within and outside the State/Country.

- b) While preparing the exhaustive panel of experts, the BoS shall include Associate Professor, Professor, Emeritus Professor and Scientists 'D' and above Grade.
- c) The Research Supervisor shall prepare the panel of examiners in consultation with the Chairperson of the P.G. BoS from the exhaustive panel prepared and approval and submit within **seven days** from the date of submission of Ph.D. thesis.
- d) The panel shall include five experts within the State and Five from outside the State/Country.
- e) In case of Languages, viz., Hindi, Kannada, Marathi, Sanskrit, Urdu, Persian, etc. the panel shall consist of ten experts all from within the country out of which four shall be outside the State. However, in case of Kannada subject, two experts shall be from outside the State.
- f) The details of experts must include name, designation, address, e-mail ID and phone number for correspondence,
- g) The acceptance from the two experts/examiners be obtained by sending e-mails along with a soft copy of the synopsis of thesis within 07 days.
- h) In case, the panel of experts is exhausted, a fresh panel of experts be submitted by the Research Supervisor in consultation with the chairperson of the concerned P.G. BoS.

30 Evaluation of the Ph. D. Thesis:

- a. The Ph.D. thesis shall be evaluated by a Panel of Examiners consisting of Research Supervisor and two External Examiners chosen by the Vice-Chancellor, essentially one examiner within the State and the other from outside the State/Country.
- b. If the Research Supervisor expires after the submission of the thesis but before the evaluation, the thesis shall be evaluated by another Indian examiner in the panel. For all purpose the Chairperson of the BoS shall be the internal Research Supervisor.
- c. Examiners appointed to evaluate the thesis shall send a separate report along with a duly filled proforma to the Registrar within 45 days from the date of receipt of the thesis. Such report shall include:
 - i. A critical note on the research contribution to the advancement of knowledge,
 - ii. Queries to be clarified at the time of Viva-Voce Examination and
 - iii. An explicit recommendation regarding the award of Ph.D. degree.
- d. If the report is not received from the External Examiner within 45 days, a reminder shall be sent. In spite of the reminder if no report is received within 15 days the university shall refer the thesis to the next Examiner, with e-mail intimation to the former examiner.
- e. The duly signed scanned evaluation report of the thesis sent through e-mail may also be

accepted for the processing and hard copy shall be insisted for the conduct of viva- voce examination.

- f. Viva-Voce examination is mandatory for the award of Ph.D. Degree.
- g. Ph.D. Degree is awarded on the receipt of favorable reports from both the external examiners and the Research Supervisor. The thesis shall be referred to third external examiner if one of the reports is not favorable. The third examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
- h. If both the external examiners give negative recommendation for the award of Ph.D. degree, the thesis shall be rejected.
- i. A revised Ph.D. thesis shall be submitted incorporating suggestions made by the external examiner(s) if one or both the external examiners recommend for resubmission. The University shall provide a maximum period of Six months to resubmit. The resubmitted thesis shall be sent to the same examiner(s) for re-evaluation.
- j. The Research Supervisor shall be informed about the queries raised by the external examiners soon after receiving the reports from them.
- k. All the modifications, suggested by external Examiner(s) shall be carried out before the publication of the Ph.D. thesis. The University shall inform the modifications suggested by the examiners to the candidate and the research supervisor.
- l. A Soft copy in PDF and standard hardbound copy shall be submitted by the concerned section to the KUD Library after the conduct of the Viva-Voce examination.

31.Viva-Voce Examination:

- a. An open Viva-Voce Examination shall be conducted on receipt of satisfactory reports of the thesis from all the examiners. The open Viva-Voce shall be conducted in the concerned P.G Department by the Board comprising:
 - i. The Research Supervisor as a Chairperson (Chairman of Department in case of death of Supervisor)
 - ii. One External Examiner, as a Member
 - iii. The Chairperson of the P.G. Department as a Member
 - iv. The Chairperson of the BOS as a Member(if different from(iii)).
 - v. The Co-Supervisor, (if any) as a Member
- b. Besides the above Board, the Viva-Voce examination is open for all interested Research Supervisors, Research Scholars and Students as well as other interested persons.
- c. Viva-Voce examination may be conducted online also and necessary facilities for the

conduct of on-line Viva- Voce shall be provided by the Chairperson of the concerned P.G. Department and all such proceedings shall be submitted to the University for Award of Ph.D. degree.

- d. However, under extraordinary circumstances if both the external examiners could not attend the Viva-Voce Examination, the Chairperson of the BoS shall act as an examiner.
- e. In case, the Research Supervisor expresses his/her inability to conduct the Ph.D. Viva-Voce Examination in writing or expires before the conduct of Viva Voce Examination, the Chairperson of the BoS act as Chairperson of the Viva-Voce Examination Board.
- f. The Chairperson of the Viva-Voce Board shall fix the date of Viva-Voce in consultation with the Chairperson of the P.G. Department and External Examiner.

32. Award of the Ph. D. Degree

- a. After successful defending the research work in Viva-Voce, the Chairperson of P.G. Department shall submit the report to the University to declare the result.
- b. The University shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.
- c. **Issuing a Provisional certificate.**-Prior to the actual award of the Ph.D. degree, the degree- awarding University shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.
- d. In the event of demise of the Research Candidate before the Ph. D. Viva-Voce examination and if the evaluation reports of all the Examiners are favorable, the Ph.D. degree shall be awarded posthumously with the approval of the Vice-Chancellor.
- e. **Award of Ph.D. degrees prior to Notification of these Regulations** - Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M. Phil. / Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M. Phil. / Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M. Phil. / Ph.D. Degree) Regulations, 2016. The Registration of research scholars who have registered for Ph.D. before July 11, 2009 shall be cancelled and shall re-register as per these regulations if he /she to wish. Nothing in these Regulations shall impact the M. Phil. degree programmes

commencing prior to the enactment of these Regulations.

- f. **Depository with INFLIBNET.**- Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the university shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.
- g. **Continual Disclosure on the University Website:** The University should display the updated list of all the registered Ph.D. candidates on its website. The list must include name of candidates, research topics, name of supervisors/co-supervisors, date of registration, etc.
- h. **Cancellation of Registration/Withdrawal of the Degree:** If any kind of academic misrepresentation is brought to the notice of the University, before or after the award of the Ph.D. Degree, the University, after due investigation, may initiate action to cancel the Ph.D. registration or withdraw the Ph.D. Degree. The Guideship of the Research Supervisor shall also be withdrawn.

33.Publication of the Thesis

- a. Based on the examiners' recommendation the University may permit the publication of the Ph.D. thesis on written request of the candidate submitted through the Research Supervisor.
- b. A Certificate from the concerned Research Supervisor regarding the incorporation of the modifications as recommended by the Examiners is mandatory.
- c. Two copies of the published thesis should be submitted to the University Library .
- d. After the award of the Ph.D. Degree, the thesis and/or publications derived from the thesis become the property of the University. The University shall reserve the right of sharing copyright, patent or recognition, if any, with the candidate and the Research Supervisor.

34.Grievance Redressal

Conflicts if any between a candidate and the Research Supervisor shall be referred to the Grievance Redressal Cell of the University to resolve the issues and recommend for the necessary actions. The aggrieved parties shall abide by the decision taken by the Cell / Vice- Chancellor.

35. Repeal and Savings

- a. Any specific modifications suggested and clarifications issued by the University should be abided from time to time by all the faculties for the smooth functioning.

- b. Notwithstanding anything contained in these regulations, any of the provision, guidelines, order, rules or regulations which are in force, shall be inapplicable to the extent of their inconsistency with these regulations.
- c. The University shall issue such orders, instructions, etc., and prescribe such formats, procedures, etc. as it may deem fit to implement the Provisions of these Regulations.
- d. The modifications made by the UGC/MHRD from time to time in its Ph.D. Regulations shall also be applicable to these Ph.D. Regulations.
- e. In an exceptional case either not covered in the above regulations or ambiguity/conflict arising out of the interpretation of the regulations, the decision of the Vice Chancellor shall be final.

Eligibility criteria to apply for Part -Time Ph.D.:

Candidates possessing educational qualification as stated for regular mode and falling under any of the following categories are eligible for admission in Part-Time mode.

1. A regular (permanent, including management appointment) teacher working in the Department of the university or in an affiliated / constituent College of the University after two years of service.
2. A regular (permanent, including management appointment) teacher from PU college / 10+2 / equivalent to PUC institution, a teacher of Polytechnic institution recognized by the Department of Technical Education of Central or State Governments, with four years of total experience after obtaining P.G. Degree.
3. A non-teacher candidate having four years of regular service in a registered firm / Institution and having completed qualifying degree not less than four years.
4. Administrative staff members and non-teaching professionals working as regular employees in the state and central government offices, government owned autonomous organizations, subject to satisfying other conditions such as four years of service after the qualifying degree.
5. Research Assistants / Technical Assistants appointed on a permanent basis by the University after confirmation of service.
6. Officers of Armed Forces and Paramilitary Forces of Government of India having four years of service.
7. All applicants shall produce certificates from their employers about duration and nature of employment and "No Objection Certificate" from the employer.
8. Candidates shall produce employment certificate from the employer every year along with the application for continuation of registration.